

POLICY TITLE: APPEALS POLICY

Effective Date: <u>January 11, 2018</u>

PURPOSE

Spruce Grove Ringette Association will have a common process that players, team staff, or members may use to challenge an existing policy, suspension, or a ruling by SGRA.

SCOPE

Any Individual who is affected by a decision of SGRA will have the right to appeal that decision, subject to any limits in this policy, to the next higher governing organization as set out below:

Table 1: Jurisdiction of Appeals

	ORGANIZATION THAT MADE INITIAL DECISION		
	Ringette Alberta	Black Gold League	SGRA
"1st Level of Appeal"	Ringette Alberta	BGL	SGRA
"2 nd Level of Appeal"		Ringette Alberta	BGL
"3 rd Level of Appeal"			Ringette Alberta

This policy will apply to decisions relating to conflict of interest, eligibility, selection, discipline, membership or any other matter deemed appropriate by SGRA.

This policy will **not** apply to decisions relating to:

- a. Decisions made external to SGRA, Ringette Alberta or its Members;
- b. Matters of employment;
- c. Matters of committee composition, staffing, and employment;
- d. Commercial matters;
- e. Matters of budgeting and budget implementation, including fees, dues and levies;
- f. Infractions for doping offences which are dealt with pursuant to the *Canadian Anti-Doping Program* or any successor policy;
- g. The rules of Ringette or disputes over competition rules;
- h. Matters relating to the substance, content and establishment of policies, procedures or criteria;
- i. Disputes arising within competitions which have their own appeal procedures; and
- j. Any decisions made under this policy.

DEFINITIONS

The following terms have these meanings in this policy:

Reviewed and/or Amended Date: January 11, 2018 Page 1 of 4

- a. Appeal: A formal request to change a decision made by SGRA, BGL or Ringette Alberta
- b. Appellant: The party appealing a decision.
- c. Days: Days irrespective of weekends or holidays.
- d. Respondent: The body whose decision is being appealed.
- e. Members: All categories of membership defined in the SGRA Bylaws.
- f. Participant Parents and/or Guardians: Legal representatives of participants where participants are under the age of 18.
- g. SGRA Volunteers and Employees: Players, Coaches, Managers, Trainers and Officials registered with SGRA and Ringette Alberta. Collectively, Coaches, Managers and Trainers may be referred to as team staff. Also includes SGRA Executive Members, other volunteers and persons providing a services to SGRA.

PRINCIPLES

- Minimize frivolous grievances or appeals that consume SGRA energy and prevent sport development.
- Everyone should have the right to challenge a ruling that may be detrimental to the sport or would unjustly penalize a player, team staff or SGRA member.
- Grievances will not result in the changing of a current decision. The Board will consider the input when making future changes to policies and procedures. Only official appeals as outlined in this policy may result in changing of a current decision.
- Appeals will be dealt with in a timely matter.
- All appeals submitted to SGRA, must be in writing to the SGRA Executive.

REQUIREMENTS

1. Timelines

Individuals who wish to appeal a suspension as mandated through Ringette Alberta rules and regulations must submit, in writing, as per Ringette Alberta 16.0 Appeals Policy.

Individuals who wish to appeal a decision by SGRA will have fourteen (14) days from the date on which they learned of the decision, to submit, in writing SGRA the following:

- Notice of their intention to appeal;
- Contact information of the appellant;
- Name of the respondent;
- Reasons and grounds for an appeal;
- All evidence that supports the reasons and grounds for an appeal;
- The remedy or remedies requested, and
- The Appeal Fee (see FEE section of Appeals Policy)

2. Grounds for Appeal

Decisions may only be appealed on procedural grounds which are limited to the Respondent:

 Making a decision for which it did not have authority or jurisdiction as set out in the applicable body's governing documents;

Reviewed and/or Amended Date: January 11, 2018 Page 2 of 4

- Failing to follow procedures as laid out in the bylaws or approved policies of SGRA;
- Making a decision that was influenced by bias, where bias is defined as a lack of neutrality to such an extent that the decision-maker is unable to consider other views or that the decision was influenced by factors unrelated to the substance or merits of the decision; and/or
- Failing to consider relevant information or taking into account irrelevant information in making the decision;
- Makes a decision contrary to their own guidelines, policy, procedures and processes.

The Appellant will bear the onus of proof in the appeal, and thus must be able to demonstrate, on a balance of probabilities, that the Respondent has made an error.

3. Appeals Officer

The SGRA Executive Member appointed to be the Appeals Officer will be the Vice President and Director of Operations, or designate SGRA Executive Member if deemed to be in conflict. The Appeals Officer has an overall responsibility to ensure procedural fairness and timeliness are respected at all times in the appeals process and more particularly, has a responsibility to:

- Determine if the appeal lies within the jurisdiction of this policy;
- Determine if appeal is brought in a timely manner;
- Determine if the appeal is brought on permissible grounds;
- Appoint a Tribunal Member to hear the appeal;
- Determine the format of the appeal process;
- Coordinate all administrative and procedural aspects of the appeal;
- Provide administrative assistance and logistical support to a tribunal member as required; and
- Provide any other service or support that may be necessary to ensure a fair and timely appeal proceeding.

4. Screening of Appeal

Upon receipt of the notice, grounds of an appeal, supporting evidence and the required fee, the Appeals Officer will review the appeal and will decide if the appeal falls within the jurisdiction of this policy, and if it satisfies procedural grounds. If the Appeals Officer is satisfied that the appeal is not under this policy's jurisdiction, or that there are not sufficient grounds, the parties will be notified in writing, stating reasons. There is no further appeal of the Appeals Officer's decision on jurisdiction or grounds.

5. Mediation

Upon determining that there exists jurisdiction and sufficient grounds for an appeal, the Appeals Officer may as a first step and with the consent of the parties, seek to resolve the appeal through mediation using the services of an independent mediator. Should mediation not be an option, a hearing before a Tribunal Member will take place.

6. Tribunal Member

The Appeals Officer will appoint a single, experienced Tribunal Member, to hear and decide a case.

7. Procedure for the Hearing

Reviewed and/or Amended Date: January 11, 2018

The Appeals Officer will determine the timing and format of the Hearing, which may involve an oral Hearing in person, an oral Hearing by telephone, web conference or other appropriate electronic means, a Hearing based on written submissions or a combination of these methods. The Hearing will be governed by the procedures that the Appeals Officer and the Tribunal Member deem appropriate in the circumstances, provided that:

- The parties will be given appropriate notice of the day, time and place of the hearing;
- Copies of any written documents which the parties wish to have the Tribunal Member consider will be provided to all parties in advance of the Hearing;
- The parties may be accompanied by a representative, advisor or legal counsel at their own expense;
- The Tribunal Member may request that any other individual participate and/or give evidence at the hearing;
- If a decision in the appeal may affect another party to the extent that the other party would have recourse to an appeal in their own right under this policy, that party will become a party to the appeal in question and will be bound by its outcome;
- After the hearing, the Tribunal Member will issue the written decision, with reasons.
- The Tribunal Member may decide to:
 - Reject the appeal and confirm the decision being appealed;
 - Uphold the appeal, identify the error(s) and notify parties involved in the original decision;
 or
 - o To uphold the appeal and vary the decision.

8. Disclosure of Decision

The Tribunal Member's decision will be considered a matter of public record. A copy of this decision will be provided to the Parties and to SGRA. Where time is of the essence, the Tribunal Member may issue a verbal decision or a summary written decision, with reasons to follow.

9. Confidentiality

The appeal process is confidential involving only the parties, the Appeals Officer and the Tribunal Member. Once initiated and until a written decision is released, none of the parties or the Panel will disclose confidential information relating to the appeal to any person not involved in the proceedings.

COMPLIANCE AND CONSEQUENCES

The decision of the Tribunal Member will be binding on the parties and on all members.

FEES

The Appeals Fee is in the form of certified cheque or bank draft payable to "Spruce Grove Ringette Association", cash, or online payment (via SGRA Treasurer), in the amount of \$500. If the Appellant is successful in their appeal, Spruce Grove Ringette Association will reimburse the Appeals Fee to the Appellant. If the Appellant is unsuccessful in their appeal, the Appeals Fee is non-refundable.