



Basketball New Brunswick

Whistleblower Policy

(Adapted from Canada Basketball)

Definitions

1. The following terms have these meanings in this Policy:
 - a) “*Director*” – An individual appointed or elected to Basketball New Brunswick’s Board of Directors
 - b) “*Worker*” – An individual who has signed an Employment Agreement or Contractor Agreement with Basketball New Brunswick

Purpose

2. The purpose of this Policy is to allow Workers to have a discrete and safe procedure by which they can disclose incidents of wrongdoing in the workplace without fear of unfair treatment or reprisal.

Application

3. This Policy only applies to Workers who observe, or experience incidents of wrongdoing committed by Directors or by other Workers.
4. Incidents of wrongdoing or misconduct observed or experienced by participants, volunteers, spectators, parents of participants, or other individuals not employed or contracted by Basketball New Brunswick can be reported under the terms of Basketball New Brunswick’s *Discipline and Complaints Policy* and/or reported to Basketball New Brunswick’s Executive Director to be handled under the terms of the individual Worker’s Employment Agreement or Contractor Agreement, as applicable, and/or Basketball New Brunswick’s policies for human resources.
5. Matters reported under the terms of this Policy may be referred to be heard under Basketball New Brunswick’s *Discipline and Complaints Policy*, at the discretion of the Compliance Officer.

Wrongdoing

6. Wrongdoing can be defined as:
 - a) Violating applicable law;
 - b) Intentionally or seriously breaching of Basketball New Brunswick’s *Code of Conduct and Ethics*;
 - c) Intentionally or seriously breaching Basketball New Brunswick’s policies for workplace violence and harassment;
 - d) Committing or ignoring risks to the life, health, or safety of a participant, volunteer, Worker, or other individual;
 - e) Directing an individual or Worker to commit a crime, violation of applicable law or serious breach of a policy of Basketball New Brunswick, or other wrongful act as determined by the Compliance Officer;



- f) Any act, behaviour or conduct contrary to accepted standards or morality of Basketball New Brunswick or one of the communities in which it operates; or
- g) Fraud.

Pledge

- 7. Basketball New Brunswick pledges not to dismiss, penalize, discipline, retaliate or discriminate against any Worker who discloses information or submits, in good faith, a report against a Worker under the terms of this Policy.
- 8. Any individual affiliated with Basketball New Brunswick who breaks this Pledge will be subject to disciplinary action, up to and including termination.

Reporting Wrongdoing

- 9. A Worker who believes that a Director or another Worker has committed an incident of wrongdoing should prepare a report that includes the following:
 - a) Written description of the act or actions that comprise the alleged wrongdoing, including the date and time of the action(s);
 - b) Identities and roles of other individuals or Workers (if any) who may be aware of, affected by, or complicit in, the wrongdoing;
 - c) Why the act or action should be considered to be wrongdoing; and
 - d) How the wrongdoing affects the Worker submitting the report (if applicable).
- 10. At the discretion of the Compliance Officer, any violation of this Policy that may be considered “Prohibited Behaviour” or “Maltreatment” (defined in the UCCMS Code of Conduct and Ethics) when the Respondent is an Organizational/Registered Participant who has been designated by ORGANIZATION as a UCCMS Participant, will be handled pursuant to the policies and procedures of the Office of the Sport Integrity Commissioner (“OSIC”), subject to the rights of Basketball New Brunswick as set out in the Code of Conduct and Ethics and applicable workplace policies.

Authority

- 11. Basketball New Brunswick has appointed the following Compliance Officer to receive reports made under this Policy:

Compliance Officer – Alyssa Lebens
Email: ed@basketball.nb.ca

- 12. After receiving the report, the Compliance Officer has the responsibility to:
 - a) Assure the Worker of Basketball New Brunswick’s Pledge;
 - b) Connect the Worker to the Alternate Liaison if the individual feels that he or she cannot act in an unbiased or discrete manner due to the individual’s role with Basketball New Brunswick and/or the content of the report;
 - c) Determine if the report is frivolous, vexatious, or not submitted in good faith (e.g., the submission of the report is motivated by personal interests and/or the content of the report is obviously false or malicious);



- d) Determine if Basketball New Brunswick's *Whistleblower Policy* applies or if the matter should be handled under Basketball New Brunswick's *Discipline and Complaints Policy*;
- e) Determine if the local police service be contacted;
- f) Determine if outside assistance or expertise is required;
- g) Determine if mediation or alternate dispute resolution can be used to resolve the issue;
- h) Determine if Basketball New Brunswick's President and/or Executive Director should or can be notified of the report; or
- i) Begin an investigation.

Alternate Liaison

13. If the Worker feels that the Compliance Officer is unable to act in an unbiased or discrete manner due to the individual's role with Basketball New Brunswick and/or the content of the report, the Worker should contact the following individual who will act as an independent liaison between the Worker and the Compliance Officer:

Alternate Liaison –Lori Wall

E-mail: lori.wall444@gmail.com

14. The Alternate Liaison will not disclose the Worker's identity to the Compliance Officer or to anyone affiliated with Basketball New Brunswick without the Worker's consent.
15. A Worker who is unsure if he or she should submit a report, or who does not want to have his or her identity known, may contact the Alternate Liaison for informal advice about the process.

Investigation

16. If the Compliance Officer determines that an investigation should be launched, the Compliance Officer may decide to contract an external investigator. In such cases, Basketball New Brunswick's Executive Director and/or President may be notified that an investigation conducted by an external investigator is necessary without the nature of the investigation, content of the report, or identity of the Worker who submitted the report being disclosed. Basketball New Brunswick's Executive Director and/or President may not unreasonably refuse the decision to contract an external investigator
17. An investigation launched by the Compliance Officer or by an external investigator should generally take the following form:
- a) Follow up interview with the Worker who submitted the report
 - b) Identification of Workers, participants, volunteers or other individuals that may have been affected by the wrongdoing
 - c) Interviews with such-affected individuals
 - d) Interview with the Director(s) or Worker(s) against whom the report was submitted
 - e) Interview with the supervisor(s) of the Worker(s) against whom the report was submitted, if applicable



18. In all stages of the investigation, the investigator will take every precaution to protect the identity of the Worker who submitted the report and/or the specific nature of the report itself. However, Basketball New Brunswick recognizes that there are some instances where the nature of the report and/or the identity of the Worker who submitted the report will or may be inadvertently deduced by individuals participating in the investigation.
19. The investigator will prepare an Investigator's Report – omitting names whenever possible and striving to ensure confidentiality – that will be submitted to Basketball New Brunswick's President and/or Executive Director for review and action.

Decision

20. Within fourteen (14) days after receiving the Investigator's Report, Basketball New Brunswick's President and/or Executive Director will take corrective action, as required. Corrective action may include, but is not limited to including:
 - a) Enacting and/or enforcing policies and procedures aimed at eliminating the wrongdoing or further opportunities for wrongdoing;
 - b) Revision of job descriptions; or
 - c) Discipline, suspension, termination, or other action as permitted by Basketball New Brunswick's Bylaws, provincial employment legislation, Basketball New Brunswick's policies for human resources, and/or the Worker's Employment Agreement or Contractor Agreement.
21. The corrective action, if any, will be communicated to the investigator who will then inform the Worker who submitted the report.
22. Decisions made under the terms of this Policy may be appealed under the terms of Basketball New Brunswick's *Appeal Policy* provided that:
 - a) If the Worker who submitted the initial report is appealing the decision, the Worker understands that his or her identity must be revealed if he or she submits an appeal, and
 - b) If the Director or Worker against whom the initial report was submitted is appealing the decision, the Worker or Director understands that the identity of the Worker who submitted the report will not be revealed, and that Basketball New Brunswick will act as the Respondent

Confidentiality

23. Confidentiality at all stages of the procedures outlined in this Policy – from the initial report to the final decision – is assured for all individuals (the Worker, the Worker(s) against whom the report is submitted, and the individuals interviewed during the investigation) to the extent permissible given the particular circumstances of each case. In situations where maintaining confidentiality may impede the investigation, Basketball New Brunswick will discuss options, including not maintaining confidentiality, with the complainant before concluding the investigation. An individual who intentionally breaches the confidentiality clause of this Policy will be subject to disciplinary action. Nothing in this section shall preclude the Compliance Officer from discussing matters with the appropriate experts or Directors as they determine necessary or advisable.

**Malicious Allegations**

24. The reporting procedure is intended only to address genuine concerns. Basketball New Brunswick discourages the use of this reporting channel for malicious purposes. Malicious or bad faith allegations will be investigated by the Compliance Officer, which could result in disciplinary action up to and including termination in extreme circumstances.

Approval

25. This Policy was last reviewed and approved by the Basketball New Brunswick Board of Directors in July, 2025.