

Discipline and Complaints Appeal Policy

"Organization" refers to: Edmonton Interdistrict Youth Soccer Association (EIYSA)

Definitions

- 1. The following terms have these meanings in this Policy:
 - a. **Affected Party** Any individual or entity, as determined by the Appeal Manager, who may be affected by a decision rendered under the Appeal Policy and who may have recourse to an appeal in their own right
 - b. **Appellant** The Party appealing a decision
 - c. **Appeal Manager** An individual, who may be any staff member, committee member, volunteer, Director, or an independent third party, who is appointed to oversee the Appeal Policy. The Appeal Manager will have responsibilities that include using decision-making authority empowered by the Appeal Policy
 - d. Days Days including weekends and holidays
 - e. **Individuals** Refers to all categories of members and/or registrants defined in the *Bylaws* of the Organization who are subject to the policies of the Organization, as well as all people employed by, contracted by, or engaged in activities with the Organization including, but not limited to, employees, contractors, Athletes, coaches, instructors, officials, volunteers, managers, administrators, committee members, parents or guardians, spectators, and Directors and Officers
 - f. **Minor** Any Individual who is under the age of majority at the time and in the jurisdiction where the alleged Maltreatment has occurred. Adults are responsible for knowing the age of majority and the age of the individual
 - g. Parties The Appellant, Respondent, and any Affected Parties
 - h. Respondent The body whose decision is being appeal

Purpose

2. This Appeal Policy provides Individuals with a fair and expedient appeal process.

Scope and Application of this Policy

- 3. This Policy applies to all Individuals.
- 4. Any Individual who is directly affected by a decision by the Organization shall have the right to appeal that decision provided there are sufficient grounds for the appeal under the 'Grounds for Appeal' section of this Policy.
- 5. A flowchart of the appeal process is provided as Appendix A Appeal Policy Flowchart.
- 6. This Policy will apply to decisions relating to:
 - a) Eligibility
 - b) Selection
 - c) Conflict of Interest
 - d) Discipline
 - e) Membership
- 7. This Policy will not apply to decisions relating to:
 - a. Employment
 - b. Infractions for doping offenses
 - c. The rules of the sport
 - d. Selection criteria, quotas, policies, and procedures established by entities other than the Organization
 - e. Substance, content and establishment of team selection criteria
 - f. Volunteer/coach appointments and the withdrawal or termination of those appointments



- g. Budgeting and budget implementation
- h. The Organization's operational structure and committee appointments
- i. Decisions or discipline arising within the business, activities, or events organized by entities other than the Organization (appeals of these decisions shall be dealt with pursuant to the policies of those other entities unless requested and accepted by the Organization at its sole discretion)
- j. Commercial matters for which another appeals process exists under a contract or applicable law
- k. Decisions made under this Policy

Timing of Appeal

- 8. Individuals who wish to appeal a decision have seven (7) Days from the date on which they received notice of the decision to submit, in writing to the Organization, the following:
 - a) Notice of the intention to appeal
 - b) Contact information and status of the Appellant
 - c) Name and contact information of the Respondent and any Affected Parties, when known to the Appellant
 - d) Date the appellant was advised of the decision being appealed
 - e) A copy of the decision being appealed, or description of decision if written document is not available
 - f) Grounds for the appeal
 - g) Detailed reasons for the appeal
 - h) All evidence that supports these grounds
 - i) Requested remedy or remedies
 - j) An administration fee of two hundred dollars (\$200), which will be refunded if the appeal is upheld
- 9. An Individual who wishes to initiate an appeal beyond the seven (7) day period must provide a written request stating the reasons for an exemption. The decision to allow, or not allow an appeal outside of the seven (7) day period will be at the sole discretion of the Appeal Manager and may not be appealed.

Grounds for Appeal

- 10. A decision cannot be appealed on its merits alone. An appeal may only be heard if there are sufficient grounds for appeal. Sufficient grounds include the Respondent:
 - a) Made a decision that it did not have the authority or jurisdiction (as set out in the Respondent's governing documents) to make
 - b) Failed to follow its own procedures (as set out in the Respondent's governing documents)
 - c) Made a decision that was influenced by bias (where bias is defined as a lack of neutrality to such an extent that the decision-maker appears not to have considered other views)
 - d) Failed to consider relevant information or took into account irrelevant information in making the decision
 - e) Made a decision that was grossly unreasonable
- 11. The Appellant must demonstrate, on a balance of probabilities, that the Respondent has made a procedural error as described in the 'Grounds for Appeal' section of this Policy and that this error had, or may reasonably have had, a material effect on the decision or decision-maker.

Screening of Appeal

- 12. Upon receiving the notice of the appeal, the fee, and all other information (outlined in the 'Timing of Appeal' section of this Policy), the Organization and the Appellant may first determine the appeal to be considered under the *Dispute Resolution Policy*.
- 13. Appeals resolved by mediation under the *Dispute Resolution Policy* will cause the administration fee to be refunded to the Appellant.
- 14. Should the appeal not be resolved by using the *Dispute Resolution Policy*, the Organization will appoint an independent Appeal Manager (who must not be in a conflict of interest) who has the following responsibilities:
 - a) Determine if the appeal falls under the scope of this Policy
 - b) Determine if the appeal was submitted in a timely manner
 - c) Decide whether there are sufficient grounds for the appeal



- 15. If the appeal is denied on the basis of insufficient grounds, because it was not submitted in a timely manner, or because it did not fall under the scope of this Policy, the Appellant will be notified, in writing, of the reasons for this decision. This decision may not be appealed.
- 16. If the Appeal Manager is satisfied there are sufficient grounds for an appeal, the Appeal Manager will appoint an Appeals Committee which shall consist of a single Arbitrator, to hear the appeal. In extraordinary circumstances, and at the discretion of the Appeal Manager, a Committee of three persons may be appointed to hear the appeal. In this event, the Appeal Manager will appoint one of the Committee's members to serve as the Chair.

Determination of Affected Parties

17. In order to confirm the identification of any Affected Parties, the Appeal Manager will ask the Organization. The Appeal Manager may determine whether a party is an Affected Party in their sole discretion.

Procedure for Appeal Hearing

- 18. The Appeal Manager shall notify the Parties that the appeal will be heard. The Appeal Manager shall then decide the format under which the appeal will be heard. This decision is at the sole discretion of the Appeal Manager and may not be appealed.
- 19. The hearing will proceed in any event, even if a Party chooses not to participate in the hearing.
- 20. The format of the hearing may involve an oral in-person hearing, an oral hearing by telephone or other electronic means, a hearing based on a review of documentary evidence submitted in advance of the hearing, or a combination of these methods. The hearing will be governed by the procedures that the Appeal Manager and the Committee deem appropriate in the circumstances, provided that:
 - a. The hearing will be held within a timeline determined by the Appeal Manager
 - b. The Parties will be given reasonable notice of the day, time and place of the hearing
 - c. Copies of any written documents which the parties wish to have the Committee consider will be provided to all Parties in advance of the hearing
 - d. The Parties may be accompanied by a representative, advisor, or legal counsel at their own expense
 - e. The Committee may request that any other individual participate and give evidence at the hearing
 - f. The Committee may allow as evidence at the hearing any oral evidence and document or thing relevant to the subject matter of the appeal, but may exclude such evidence that is unduly repetitious and shall place such weight on the evidence as it deems appropriate
 - g. If a decision in the appeal may affect another party to the extent that the other party would have recourse to an appeal in their own right under this Policy, that party will become a party to the appeal in question and will be bound by its outcome
 - h. The decision to uphold or reject the appeal will be by a majority vote of Committee members
- 21. In fulfilling its duties, the Committee may obtain independent advice.

Appeal Decision

- 22. The Appellant must demonstrate, on a balance of probabilities, that the Respondent has made a procedural error as described in the Grounds for Appeal section of this Policy and that this error had, or may reasonably have had, a material effect on the decision or decision-maker.
- 23. The Committee shall issue its decision, in writing and with reasons, within fourteen (14) days after the hearing's conclusion. In making its decision, the Committee will have no greater authority than that of the original decision-maker. The Committee may decide to:
 - a) Reject the appeal and confirm the decision being appealed
 - b) Uphold the appeal and refer the matter back to the initial decision-maker for a new decision
 - c) Uphold the appeal and vary the decision
- 24. The Appeal Committee will also determine whether costs of the appeal, excluding legal fees and legal disbursements of any Parties, will be assessed against any Party. In assessing costs, the Appeal Committee will take into account the outcome of the appeal, the conduct of the Parties, and the Parties' respective financial resources.



25. The Committee's written decision, with reasons, will be distributed to all Parties, the Appeal Manager, and the Organization. In extraordinary circumstances, the Committee may first issue a verbal or summary decision soon after the hearing's conclusion, with the full written decision to be issued thereafter. The decision will be considered a matter of public record unless decided otherwise by the Committee.

Timelines

26. If the circumstances of the appeal are such that adhering to the timelines outlined by this Policy will not allow a timely resolution to the appeal, the Appeal Manager and/or Committee may direct that these timelines be revised.

Confidentiality

27. The appeals process is confidential and involves only the Parties, the Appeal Manager, the Committee, and any independent advisors to the Committee. Once initiated and until a decision is released, none of the Parties will disclose confidential information to any person not involved in the proceedings.

Final and Binding

28. No action or legal proceeding will be commenced against the Organization or an Individual in respect of a dispute, unless the Organization has refused or failed to provide or abide by the dispute resolution process and/or appeal process as set out in the Organization's governing documents.

References:

- Bylaws of the Edmonton Interdistrict Youth Soccer Association
- EIYSA Dispute Resolution Policy
- Alberta Soccer Sport Clubs/Districts Operations Manual¹

¹ Alberta Soccer Association and Sport Law (2022). Sports Clubs / Districts Operations Manual (1st Edition). Alberta Soccer Association



Appendix A – Appeal Policy Flowchart

Appellant files written appeal with the Organization Screening of Appeal **Appeal Dismissed** 1. Organization will appoint an Appeal Manager 1. The Appeal Manager will dismiss the appeal if: a) The appeal is not within the scope of the 2. The Appeal Manager will determine if the appeal: Appeal Policy; OR a) Falls under the scope of the Appeal Policy b) The appeal was not submitted in a timely b) Was submitted in a timely manner manner; OR c) Has sufficient grounds for appeal c) There are insufficient grounds for appeal **Appeal to Proceed** 1. The Appeal Manager will propose the use of the **Dispute Resolution Policy** Dispute Resolution Policy with the objective of resolving the dispute via Alternative Dispute Resolution. 1. Opportunities for dispute resolution may be pursued at any point in a dispute (except immediately prior to the release of the Panel's decision) upon the consent of the Parties. **Appeal Hearing Procedure** (Alternative Dispute Resolution Unsuccessful or Rejected) 2. The Appeal Manager will appoint a mediator or facilitator. 1. The Appeal Manager will: a) Appoint the Appeal Panel 3. The mediator/facilitator will decide the format for b) In cooperation with the Panel, decide the format of mediation and/or facilitation the appeal hearing c) Provide notice of the hearing d) Ensure all evidence and submissions are disclosed to all Parties and the Panel **Negotiated Decision Negotiated Decision** e) Set all timelines Reached **Not Reached** 2. The Panel: 1. If a negotiated 1. If a negotiated a) May request other individuals participate in the decision is reached. decision is not hearing the decision will be reached, the appeal b) Will render a written decision within 7 Days of the reported to and will be considered completion of the hearing approved by the under the appropriate c) Will send the decision to the Appeal Manager who Organization. section of the Appeal will distribute the decision as required Policy 3. The Panel's decision will: a) Reject the appeal; OR b) Uphold the appeal and refer the matter back to the initial decision-maker for a new decision; OR c) Uphold the appeal and vary the decision 4. The Panel's decision cannot be appealed.

This flowchart is a quick guide only. Please see the Appeal Policy for complete details.