



**NWT Soccer Disciplinary Code  
September 14, 2021**

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## Chapter I Introduction

### I. Definitions

For the purpose of the *NWT Soccer Disciplinary Code (NSDC)* the terms set out below are defined as follows:

1. **Administrative Suspension:** A full Suspension and complete prohibition against participating in any Sanctioned Soccer-Related Activities for the duration of the Suspension;
2. **Assault:** Applying force intentionally to another person, directly or indirectly, without their consent; attempting or threatening, by an act or gesture, to apply force to another person if they have the present ability, or cause the other person to believe they have the present ability to commit an assault; intentionally causing another person to fear imminent contact of a harmful or offensive nature;
3. **Automatic Suspension:** The Mandatory one Match Suspension that is served at the next Match for Match-related ejections which may not be appealed and for Youth Players must be served in the following scheduled league or event match, whichever comes first. For Adult players the Suspension must be served in the following scheduled Match within the competition or league in which the Suspension was incurred;
4. **Brawl:** A physical confrontation involved three (3) or more players, Team Officials and/or Spectators;
5. **Business Days:** Monday to Friday, excluding statutory holidays;
6. **Canada Soccer:** Canadian Soccer Association;
7. **Club:** Member of NWT Soccer;
8. **Discipline Panel:** A duly constituted panel consisting of a minimum of three (3) persons who are appointed by the Judicial Chair of NWT Soccer to adjudicate disciplinary matters in accordance with NWT Soccer's Rules and Regulations and Policies;
9. **Ethics Panel:** A duly constituted panel consisting of a minimum of three (3) persons who are appointed by the Judicial Chair of NWT Soccer to investigate matters of conduct and ethics in accordance with NWT Soccer's Rules and Regulations and Policies;
10. **Expulsion:** To remove a person or an Organization-from membership within NWT Soccer; for having been ejected or reported for misconducted at a Sanctioned Soccer activity;
11. **Failure to Control:** Is where a Team Official is unable to maintain control of their team or Spectators at an event or Match, or where a Member is unable to maintain control of members within their jurisdiction on an ongoing basis;
12. **Field Suspension:** A Sanction that prohibits the attendance at any Match, practice or on field activity related to playing or officiating;
13. **International Match:** A match between two (2) teams belonging to different national associations;
14. **Judicial Body:** One of the following panels of NWT Soccer: The Ethics Panel, the Disciplinary Panel, the Appeals Panel; and all of them together shall be known as the Judicial Bodies;
15. **Leaving the Park:** An individual requested to leave the park or playing area, must leave the immediate area determined at the discretion of the referee. An individual will still be

considered in the playing area or park if the individual continues to direct misconduct towards the playing area from outside the park's limits;

- 16. Mandatory:** The minimum Sanctions that are required for certain Match-related offences;
- 17. Match:** Any Friendly Match, International Match or Competition Match
- 18. NWT Soccer or Association:** NWT Soccer Association;
- 19. Match Official:** A registered individual who performs as, but no limited to, referee, assistant referees, fourth official, referee assignors, Match commissioner, referee assessor, the person in charge of safety, and other person appointed by Canada Soccer to assume responsibility in connection with a match.
- 20. Match Suspension:** A Suspension from Match(es) is a ban from taking part in a future Match(es) or attending in the area immediately surrounding the field of play;
- 21. Observer:** In the context of a Judicial hearing, it is an uninvolved person who may accompany the accused to their hearing. The observer may not act as a witness at such a hearing or in any professional or advising capacity and may simply observe the proceedings without any active involvement. Examples of an observer would be a Club Official, Team Official, Teammate or Relative;
- 22. Official:** Anyone, with the exception of players and spectators, performing an activity connected with soccer at an Association or Club, regardless of title, the type of activity (administrative, sporting or any other) and the duration of the activity. It includes all Directors, Officers, committee members, coaches, trainers, Match Officials', persons in charge of safety, and any other person responsible for technical, medical and/or administrative matters in NWT Soccer, its Members, Clubs or Leagues, as well as all other persons obliged to comply with the NWT Soccer By-laws.
- 23. Physical Contact:** Any intentional body to body contact with another individual or official involved in a Match;
- 24. Policies:** the policies adopted by NWT Soccer pursuant to its Bylaws and Rules and Regulations;
- 25. Post-match:** the time between the final whistle from the referee and the teams' departure from the confines of the stadium/field;
- 26. Pre-match:** the time between the teams' arrival in the confines of the stadium/field and the whistle for kickoff from the referee;
- 27. Rules and Regulations:** Those rules and regulations that are set from time to time by NWT Soccer, or a Member that govern the rules of play for Sanctioned Soccer;
- 28. Sanction:** A penalty imposed by a Judicial Panel that may include Suspension or fine;
- 29. Sanctioned Soccer:** Events and/or activity authorized by and under the jurisdiction of NWT Soccer in accordance with its Bylaws, Rules and Regulations, Policies and directives;
- 30. Soccer-Related Activity:** Activities that include administering, coaching, managing, player or officiating in any Sanctioned Soccer event or activity;
- 31. Spectator:** Any one person in attendance administering, coaching, managing, playing or officiating in any Sanctioned Soccer event or activity;
- 32. Term Suspension:** A Suspension for a specific stated period of time, from all Soccer-Related Activities unless otherwise ordered by the Judicial Panel;
- 33. Team Official:** The coach, assistant coach, manager or other person registered with NWT Soccer who oversees the operation of a team;
- 34. Team Personnel:** Includes but not limited to, Team Officials and other personnel in a position

of influence on the athletes;

**35. Unsporting:** Not adhering to the standards of moral, ethical, or good sporting behaviours expected of persons involved in the game; not acting for the good of the game;

Terms referring to a natural person are applicable to all genders. Any term in the singular applies to the plural and vice-versa.

## II. Purpose

The NWT Soccer *Disciplinary Code*:

1. Specifies the organization, procedures and functions of the Judicial Bodies of NWT Soccer;
2. Describes the disciplinary processes NWT Soccer will follow when the FIFA *Laws of the Game*, Canada Soccer Bylaws, Rules & Regulations or Policies; NWT Soccer *Code of Conduct and Ethics Rules & Regulations or Policies*, *Canadian Anti-Doping Policy*, FIFA *Anti-Doping Regulations* and any/all NWT Soccer *Competition Regulations* are, or may, be breached; and
3. Specifies the disciplinary measures or sanctions that may be imposed.

Where there are any discrepancies between this document and the Canada Soccer *Disciplinary Code*, the Canada Soccer *Disciplinary Code* will take precedence.

## III. Scope of Application

1. The NWT Soccer Disciplinary Code applies to all categories of membership defined in NWT Soccer's By-Laws and to Officials, players and individuals employed or engaged by Canada Soccer. The Code also applies to the Directors of Clubs and Leagues or any other individuals who perform duties on behalf of Members are engaged in soccer-related activities, whether on or off the field, sanctioned by NWT Soccer.
2. The NWT Soccer Disciplinary Code applies to every match and competition organized by NWT Soccer or its members and breaches to the FIFA Laws of the Game, NWT Soccer By-Laws, Rules and Regulations, Code of Conduct and Ethics and policies and directors in force. It also applies to any breach of the NWT Soccer By-laws, Rules and Regulations, Code of Conduct and Ethics and policies that do not fall under jurisdiction of any other body.
3. Infractions are punishable regardless of whether they have been committed deliberately or negligently and regardless of whether one is the instigator or an accomplice.
4. The NWT Soccer Disciplinary Code applies to facts that have arisen after the NWT Soccer Disciplinary Code has come into force.
5. Terms referring to natural persons are applicable to all genders, Any term in the singular applies to the plural and vice-versa.

## IV. Jurisdiction

1. Any person or organization reported for misconduct, and all cases of misconduct involving alleged physical assault, attempted physical assault, or threatening behavior shall be dealt with by a Judicial Body of the Association except as otherwise stipulated herein:
  - a. Physical Assault
  - b. Attempted Physical Assault
  - c. Physical or verbal abuse of a Match Official
  - d. Attempted abuse of a Match Official
  - e. Threatening behavior
  - f. Misconduct involving racist or sexist comments
  - g. Complaints or inquiries referred to it by another Provincial/Territorial Association

- h. Misconduct by an Association Official within Members (event if participating in another capacity)
  - i. Any other matter directly related to NWT Soccer which the Judicial Chair NWT Soccer, in their sole discretion chooses to handle
- 2. All alleged offenses other than those listed above may be dealt with by the Member Organization in whose jurisdiction the alleged offence took place. Member and Member Organizations may only deal with discipline that involves their own, and that which does not meet/exceed the thresholds listed above.
- 3. The Judicial Chair of NWT Soccer may, at their discretion, refer a case to the Member or Member Association in which the alleged offence took place.
- 4. Member and Member Organizations shall ensure their disciplinary procedures, Rules & Regulations and Sanctions are consistent with those of NWT Soccer.
- 5. All hearings involving physical contact with Match Officials or attempted physical contact with Match Officials shall be dealt with by NWT Soccer.
- 6. NWT Soccer & Member Clubs and Leagues shall ensure that their disciplinary rules, regulations, and procedures are consistent with those of NWT Soccer and any discipline hearing shall be conducted in accordance with these rules and regulations.
- 7. A Member or Member Organization may refer a misconduct incident to the organization they are a member of and up to NWT Soccer. If the organization receiving the referral determines that the referral is valid, it shall handle the case. If the organization receiving the referral determines that the referral is not valid, the case shall be handled by the organization with jurisdiction for that misconduct type.
- 8. Every club is responsible for the actions of its players, coaches, administrators and spectators. Players, coaches, administrators and spectators may only take part in or attend games on condition that they have observed NWT Soccer's Rules and Regulations and policies.

## V. Decisions of the Referee

- 1. Decisions taken by the referee on the field of play are final and may not be reviewed by the NWT Soccer's Judicial Bodies.
- 2. In cases where a decision by the referee involves an obvious error (such as mistaking the identity of the person penalized), the Judicial Bodies may only review the disciplinary consequences of that decision. In cases of mistaken identity, disciplinary proceedings may, in accordance with this Code, be opened only against the person who was actually at fault.
- 3. A protest against a caution or a sending-off from the field of play after two cautions is admissible only if the referee's error was to mistake the identity of the player.
- 4. In cases of serious misconduct, disciplinary action may be taken even if the referee and his assistants did not see the event in question and were therefore unable to take any action.



## II. Misconduct

1. In addition to any/all matters referred to in the NWT Soccer *Rules and Regulations* or policies, it shall be deemed misconduct if any individual, person, entity or organization has, in the judgment of a Discipline Hearing Committee following a hearing of that Committee, committed any of the following offenses:
  - a. Violated the *Laws of the Game*, the NWT Soccer *Rules and Regulations*, or the rules, regulations or policies of an Association or League;
  - b. Bet on any game, match or NWT Soccer sanctioned event, other than as permitted in accordance with provincial, federal or international law;
  - c. Offered or accepted, with a view to influencing the result of a game, consideration from any Association, Club, League, player, team official, or Match Official;
  - d. Criminal misconduct or human rights abuse; or
  - e. Any act or statement, verbally or in writing, which is considered to be ungentlemanly, insulting, or improper behaviour or is likely to bring the game into disrepute.
2. Anyone who, in soccer-related activities, forges a document, falsifies an authentic document or uses a forged or falsified document to purposely deceive will be sanctioned with a fine.
3. An Association or a Club may be held liable for an act of forgery or falsification by one of its administrators, coaches and/or players.

## VI. Membership Consequences

1. When a League, Club, player, Official or member is sanctioned, the NWT Soccer Board of Directors will apply any subsequent action in respect to the offending Association, League, Club, player, Official or member in relation to their membership or connection with NWT Soccer.
2. No suspended player or member of a League, or Club so suspended or removed from NWT Soccer shall be eligible for membership in any other Association (Provincial/Territorial), League, or Club belonging to, or under the jurisdiction of, Canada Soccer.

## Chapter 2 The Disciplinary Process

### General Principles

#### I. Independence

1. The Judicial Bodies make their decisions entirely independently. They shall not receive instructions from any other Judicial Body, and a member of another Judicial Body may not stay in the meeting room during the Judicial Bodies' deliberations unless they have been explicitly summoned to attend.
2. An individual may not serve as Chair of more than one Judicial Body.
3. An individual who serves on a Judicial Body may not at the same time be a Director of NWT Soccer.
4. An individual may not serve on a Judicial Body if that individual or an immediate family member is either employed or contracted by, or holds any other official position at, NWT Soccer.

#### II. Confidentiality

1. Members of the Judicial Bodies shall ensure that everything disclosed to them during the course of their work, including but not limited to the facts of the case, the content of their deliberations, and the decisions taken, remain confidential.
2. The content of written decisions provided to addressees may subsequently be made public at the discretion of NWT Soccer.

#### III. Conflict of Interest

1. Members of the Judicial Bodies must decline to participate in any meeting concerning a matter in which they are in a real or perceived conflict of interest. Such instances include, but are not limited to the following:
  - a. if the member in question has a direct interest in the outcome of the matter;
  - b. if the member is associated with any of the parties; or
  - c. if the member has already dealt with the case under different circumstances.
2. Members of the Judicial Bodies who decline to participate in a meeting on any of the above grounds shall notify the Chair immediately.
3. The parties subject to any proceeding with the Judicial Bodies may also raise an objection to a member of a Judicial Body hearing the case whom they believe to be biased. The Chair of the applicable Judicial Body shall decide on any such claim of bias. The Chair's decision shall be final and binding.
4. Proceedings that have involved someone whom the Chair has ordered not to participate will be considered null and void.

#### IV. Closed Proceedings

1. Proceedings of any Judicial Body may be closed if:
  - a. All parties involved in the proceedings reach agreement;

- b. A party declares bankruptcy; or
- c. The proceedings become baseless in the discretion of the Judicial Committee in their sole discretion.

## V. Sanctions Common to both Natural and Legal Persons

Both natural and legal persons are punishable by each, or a combination of, the following sanctions at the discretion of the Judicial Body hearing the case:

1. Warning
  - a. A warning is a reminder of the substance of a disciplinary rule allied with the threat of a sanction in the event of a further infringement.
2. Reprimand
  - a. A reprimand is an official written pronouncement of disapproval sent to the perpetrator of an infringement.
3. Fine
  - a. Judicial Bodies may impose monetary sanctions based on the minimum standards outlined in Annex A. These minimum fines may be increased based on the weight of evidence presented in the case.
  - b. The Judicial Body that imposes the fine decides the terms and time limits for payment.
  - c. NWT Soccer is jointly liable for fines imposed on representative team players and Officials. The same applies to Clubs in respect of their players and Officials. The fact that a natural person has left a Club or Association does not cancel out joint liability
4. Suspension
  - a. Judicial Bodies may impose a suspension, in addition to the mandatory minimum suspension outlined in Annex A, for a specific number of games, length of time or from all soccer related activity based on the weight of evidence presented in the case.
5. Return of Awards
  - a. The person required to return an award shall return the benefits received, and in particular sums of money and symbolic objects (medal, trophy).

## VI. Failure to Respect Decisions

1. Any financial or non-financial decision that has been pronounced against a natural person by a Judicial Body, duly recognized by NWT Soccer, shall be enforced by the Association of the Judicial Body that has pronounced the decision or by the natural person's new Association if the natural person has in the meantime registered (or otherwise signed a contract in the case of a coach) with a Club affiliated with another Association, in accordance with the principles established in Section V above and in compliance with the applicable disciplinary regulations.
2. Any financial or non-financial decision that has been pronounced against a Club or a Member of NWT Soccer by a Judicial Body, duly recognized by NWT Soccer, shall be enforced by the Association of the Judicial Body that has pronounced the decision in accordance with the principles established in Section V above and in compliance with the applicable disciplinary regulations.

## VII. Information Reporting Requirements

1. Associations and other sport organizations shall notify the Judicial Bodies of NWT Soccer of any serious violations of NWT Soccer's Statutory Objectives.
2. Any disciplinary action to be taken at a Friendly Match between two (2) representative teams from different Associations is the responsibility of the organization to which the sanctioned player belongs. However, in serious cases, the Canada Soccer Disciplinary Committee may intervene in the case. The Associations shall inform Canada Soccer of the sanctions pronounced.
3. Associations and Leagues shall include, with all decisions, a notice that indicates the following information:
  - a. Association rules regarding appeals;
  - b. Association address where the appeal is to be lodged;
  - c. Method of payment and amount of fee;
  - d. Statement of fact document; and
  - e. Decisions which may be appealed to NWT Soccer.

## Administration

### VIII. Costs

1. Costs shall be paid by the unsuccessful party.
2. If there is no unsuccessful party, costs shall be borne by the Association hearing the case.
3. If considered fair to do so, costs may be split among several parties.
4. The Chair of the Judicial Body may, in exceptional circumstances, decide to curtail or dispense with costs and expenses.
5. No procedural compensation shall be awarded in proceedings of the Disciplinary and Appeal Committees.
6. Any cost incurred by applications for leave to appeal that are deemed baseless by the Chair of the Judicial Body shall be paid by the appellant.

### IX. Timelines

1. Time limits referred to herein begin the day following the receipt of relevant documents.
2. Time limits to which other persons must adhere commence four (4) days after a document has been delivered by NWT Soccer.
3. If the last day of the time limit coincides with a public holiday the time limit will expire on the next day.
4. Documents must be sent to the relevant Judicial Body no later than midnight on the last day of the time limit.
5. If the document is sent electronically, the document must be sent to the Judicial Body before midnight on the last day of the time limit.
6. In the case of appeals, the deposit demanded is considered to have been paid in time if the payment is received by NWT Soccer by midnight on the last day of the time limit.
7. Time limits are suspended from December 20 to January 7 inclusive and during the period beginning two (2) days before the Annual General Meeting and ending two (2) days after that meeting.

8. Time limits established in the NWT Soccer *Disciplinary Code* may not be extended.
9. Time limits established by the Chair of a Judicial Body may be extended but never more than twice.

## X. Liability

1. Except in the case of gross negligence, neither the members of the Judicial Bodies of NWT Soccer nor the Executive Director, nor the Directors may be made found liable for any deeds or omissions relating to any disciplinary or appeal procedure.

## XI. Language

1. The languages available to be used in proceedings are the eleven (11) official languages of the Northwest Territories.
2. NWT Soccer may, if necessary, use the services of an interpreter.
3. All decisions rendered will be published in one (1) of the official language requested by the accused or appellant as applicable.

## XII. Limitation Period for Prosecution

1. Infringements committed during a match may no longer be prosecuted after a lapse of two (2) years. As a general rule, other infringements may not be prosecuted after a lapse of ten (10) years.
2. Anti-doping violations may not be prosecuted after ten (10) years have elapsed.
3. Prosecution for corruption is not subject to a limitation period from NWT Soccer.
4. The limitation period commences on the day on which the perpetrator committed the infringement, if the infringement is recurrent, from the day on which the most recent infringement was committed, and if the infringement lasted a certain period, from the day on which it ended.
5. The limitation period is interrupted if the Disciplinary Committee commences proceedings before it has expired.

## XIII. Limitation Period for the Enforcement of Sanctions

1. The limitation period for the enforcement of sanctions is five (5) years.
2. The limitation period begins on the day on which the decision comes into force.

## The Process

### XIV. Making a Complaint

1. Any person may file a report where that person believes conduct has taken place that is incompatible with the NWT Soccer *By-Laws*, and/or NWT Soccer *Code of Conduct and Ethics*. The Report is to be made in writing and sent to where it will be accessed by an independent case manager and assigned in accordance with Section XV below.
2. Referees are to submit a match report form and are to report all misconduct by players who receive a caution or who are sent from the field of play together with any other infractions or incidents which they observe when officiating. Other Match Officials appointed to a game

are to report on infringements observed by them. Reports are to be submitted in writing to the Match Commissioner, where appointed, or in accordance with the Competition Regulations.

3. A complainant and/or respondent may apply to NWT Soccer for leave to appeal a decision rendered on completion of the Club or League discipline and appeal process that involve an interpretation or breach of NWT Soccer policies or regulations or the policies or regulations of the Association or league, unless the disciplinary sanction pronounced is:
  - a. a warning;
  - b. a reprimand;
  - c. a suspension for fewer than three (3) matches or of up to two (2) months;
  - d. a fine of up to \$5,000 imposed on an Association or a Club or of up to \$750 in other cases;
  - e. decisions passed in compliance with the NWT Soccer *Disciplinary Code*; or
  - f. decisions passed in compliance with NWT Soccer or Association competition regulations and/or regulations where such decisions are final and binding.
4. A complainant and/or respondent may apply to NWT Soccer for leave to appeal decisions taken by NWT Soccer under the provision of the NWT Soccer *Code of Conduct and Ethics* that involve only an interpretation or a breach of that policy.
5. An appeal may be lodged with the Appeals Committee against any decision rendered by the NWT Soccer Judicial Bodies, unless the disciplinary sanction pronounced is:
  - a. a warning;
  - b. a reprimand;
  - c. a suspension for fewer than three (3) matches or of up to two (2) months;
  - d. a fine of up to \$5,000 imposed on an Association or a Club or of up to \$750 in other cases;
  - e. decisions passed in compliance with the NWT Soccer *Disciplinary Code*; or
  - f. decisions passed in compliance with NWT Soccer or Association competition regulations and/or regulations where such decisions are final and binding.

## XV. Assignment of a Complaint to a Judicial Body

1. Within five (5) days of receipt, a complaint shall be assigned to the appropriate Judicial Body as follows:
  - a. A complaint regarding conduct that violates the NWT Soccer *Code of Conduct and Ethics* shall be referred for investigation to the NWT Soccer Ethics Committee.
  - b. All other complaints shall be referred to the NWT Soccer Disciplinary Committee.
2. In all cases of alleged physical assault, attempted physical assault, or threatening behaviour toward a game official, the accused shall be suspended from all soccer activities from the date of the alleged incident until a hearing has been held and the case has been decided.
3. In all cases where a person has been charged with a Criminal Code of Canada offence(s), the accused shall be suspended from all soccer activities until the case has been concluded in the Criminal Court, and if necessary, a hearing has been held and the case has been decided.

## XVI. Ethics Committee

1. The Ethics Committee is an investigative body which is responsible for investigating the conduct of all persons bound by the NWT Soccer *Code of Conduct and Ethics*.
2. The Ethics Committee shall consist of three (3) members, one of which shall serve as Chair of the Committee.
3. The terms of reference of the Ethics Committee are specified in the NWT Soccer *Governance Policies*.
4. NWT Soccer shall appoint a staff member to serve as The Case Manager to manage claims submitted to the Committee.

## XVII. Ethics Committee Procedures

1. The Ethics Committee shall investigate all complaints received.
2. The Ethics Committee shall reserve the right to investigate the conduct of all persons bound by the NWT Soccer *Code of Conduct and Ethics* even when said persons are not performing their duties, if such conduct is likely to seriously damage the integrity, image or reputation of NWT Soccer.
3. If an Association has not addressed a complaint, the Ethics Committee shall be entitled to investigate.
4. If the person named in the complaint is a member of the NWT Soccer Board of Directors the procedures to be followed are specified in Section 5.06 of the NWT Soccer *By-laws*.
5. The Ethics Committee shall consider only the written information included in the complaint and shall examine aggravating and mitigating circumstances equally.
6. If the Ethics Committee deems that there is no prima facie case, it shall close the case. Such decisions are not subject to appeal. The Ethics Committee shall document all facts in an initial report which shall be sent to the complainant and will be kept on file at NWT Soccer.
7. If a prima facie case is found, the Ethics Committee shall inform both the complainant and the respondent and shall forward the complaint and all supporting materials to the appropriate Judicial Body.
8. One or more members of the Ethics Committee shall present the case to the Disciplinary Committee if a personal hearing is conducted.

## XVIII. Disciplinary Committee

1. The Disciplinary Committee shall consist of three (3) members, one of which shall serve as Chair of the Committee.
2. The terms of reference of the Disciplinary Committee are specified in the NWT Soccer *Governance Policy*.
3. NWT Soccer shall appoint a staff member to serve as The Case Manager to manage claims submitted to the Committee.
4. The Disciplinary Committee is responsible for:
  - a. Sanctioning serious infringements which have escaped the Match Officials' attention;
  - b. Rectifying obvious errors in the referee's disciplinary decisions;
  - c. Extending the duration of a match suspension incurred automatically by an expulsion;
  - d. Pronouncing additional sanctions, such as a fine; and

- e. Acting upon any report and supporting materials received from the Ethics Committee.
- 5. The Chair of the Disciplinary Committee may serve as the sole member of the Disciplinary Committee when:
  - a. the recommended sanctions for the infringement do not exceed a suspension for three (3) matches or up to two (2) months;
  - b. the fine imposed does not exceed \$50,000;
  - c. a ruling increases a recommended sanction;
  - d. an objection is raised to the inclusion of a member of the Disciplinary Committee;
  - e. a case involves a matter under Section VI above.

## XIX. Disciplinary Committee Procedures

1. Parties to a complaint are obliged to assist in establishing the facts. As a result, they must comply with requests for information received from a Judicial Body or from the Executive Director. Failure to comply with such requests for information within the stipulated timeline may result in a fine as per the Appendix attached hereto.
2. Types of proof that may be presented include but are not limited to:
  - a. Reports from referees, assistant referees, match commissioners and referee inspectors;
  - b. Misconduct reports from other National Associations;
  - c. Declarations from the parties and from witnesses;
  - d. Material evidence;
  - e. Expert opinions; and
  - f. Audio and/or video recordings.
3. The Disciplinary Committee has absolute discretion regarding what constitutes proof and which proof is admissible. If there is a discrepancy amongst reports from various officials at the Match, the referee's report is considered authoritative regarding on field events and the match commissioner's report is considered authoritative regarding incidents outside the field of play.
4. The Disciplinary Committee will normally make its decision based on the material within its possession once the stipulated timelines are reached. The Disciplinary Committee may summon parties to a personal hearing when it believes that oral statements should be heard. In such instances, the hearing must comply with the requirements in Section XX below.
5. Decisions rendered by the Disciplinary Committee are to be written and must include the names of the Discipline Committee members, the names of the parties, the grounds for the decision and the facts upon which it was based, the decision itself, and the channels for appeal. Decisions are to be signed by the Chair of the Committee.
6. Written decisions are to be forwarded to the parties concerned within forty-five (45) working days and, in cases where a personal hearing is held, within fifteen (15) working days after the date of the hearing.
7. Decisions may be communicated by facsimile, by electronic mail or by registered letter.



## XX. Personal Hearings

1. If the Disciplinary Committee determines that a personal hearing is required, it shall set the hearing on a date within twenty-five (25) business days of receiving the complaint and shall give notice to all parties and, if applicable, to the Member Association concerned as soon as possible and in every case within at least ten (10) business days' prior to the scheduled hearing. By mutual consent and in writing, the parties may modify these timelines.
2. The Disciplinary Committee that hears the complaint shall have a minimum of three (3) members, one of whom shall serve as Chair.
3. At the Committee's discretion, one of its members may act as recording secretary, or the committee may appoint a non-voting recording secretary to attend the hearing.
4. The accused or the accused's representative appointed in writing must be present at the hearing or the hearing cannot proceed.
5. The parties may call witnesses to the incident to submit written information or to appear at the hearing. The party calling the witness is responsible for expenses when the witness is required to appear at the hearing.
6. The hearing must be held behind closed doors and shall proceed as follows. The Chair may adjust the sequence of these actions.
  - a. The Chair shall read the complaint and clearly state the charge.
  - b. The author of the complaint (if present) shall be given an opportunity to comment on or to add any qualifications to the complaint.
  - c. The accused shall be allowed to ask relevant questions to the complainant (if present) and to make submissions and/or statements on his or her own behalf.
  - d. Committee members may question the complainant and the accused, and any witnesses invited to participate by either the complainant or the accused.
  - e. The complainant and the accused will be invited to make summary statements before withdrawing.
  - f. The Committee shall consider the evidence presented and decide the case such that the timeline in Section XIX (6) is met.
7. Requests to postpone a hearing once it is scheduled must be made no later than five (5) business days' prior to the hearing date. Such a request must be accompanied by a certified cheque or money order in the amount of \$500.00 to be refunded if the request is denied.

## XXI. Sanctions

1. The Discipline Hearing Committee shall have the power to order any of the following sanctions, in any combination, using the minimum standards outlined in Annex A as a guide:
  - a. to be suspended from any or all specific soccer activity either permanently, indefinitely, or for a stated period of time;
  - b. to be suspended for a specific number of scheduled games in a designated competition;
  - c. to be fined;
  - d. to receive a written notice of admonishment;
  - e. to prepare and deliver a letter of apology;
  - f. to pay all expenses of the Discipline Hearing Committee.

## XXII. Appeals

1. A decision of the NWT Soccer Disciplinary Committee, Ethics Committee may be appealed, as provided for by Section XIV (4) and XIV (5).
2. A complainant and/or respondent may apply to Canada Soccer for leave to appeal a decision rendered via NWT Soccer's appeal process, as provided for by Section XIV (3).
3. A decision cannot be appealed on its merits alone. An appeal may be heard only if there are sufficient grounds for the appeal. Sufficient grounds include the respondent:
  - a. making a decision for which it did not have authority or jurisdiction as set out in governing documents;
  - b. failing to follow procedures as laid out in the approved policies of the association;
  - c. making a decision which was influenced by bias;
  - d. exercising its discretion for an improper purpose; or
  - e. making a decision which was unreasonable.
4. To submit an appeal, the appellant must apply in writing to NWT Soccer within ten (10) business days' after receipt of the decision being appealed.
5. The written appeal must contain:
  - a. Decision being appealed;
  - b. Reason for Appeal;
  - c. Notice to Other Party or Parties;
  - d. Statement of facts;
  - e. Rule or regulation that has been contravened;
  - f. Remedy sought;
  - g. Three hard copies of the submission;
  - h. The appeal fee of \$750.00
6. Where an appeal has been submitted to NWT Soccer, a decision shall not be suspended unless the NWT Soccer Appeals Committee so orders.
7. An appeal shall not defer the decision, except in the event of any financial sanction, fine or penalty that is being contested.

## XXIII. Appeals Committee

1. An Appeals Committee shall comprise three (3) members, one of whom shall serve as Chair of the Committee.
2. The terms of reference of the Appeals Committee are specified in the NWT Soccer *Governance Policies*.
3. NWT Soccer shall appoint a staff member to serve as Appeals Committee Secretary to manage appeals submitted to the Committee.
4. The Chair of the Appeals Committee may serve as the sole member of the Committee when the Committee is making the following decisions:
  - a. deciding on an appeal against a decision to extend a sanction;
  - b. resolving disputes arising from objections to members selected to serve on the Appeals Committee;
  - c. ruling on appeals against provisional decisions made by the Chair of the Disciplinary Committee; and
  - d. pronouncing, altering, or annulling provisional measures.

## XXIV. Appeals Committee Procedures

1. Any party intending to appeal shall inform NWT Soccer of its intention to do so in writing within ten (10) business days of notification of the decision being appealed. This application for leave to appeal notification shall include the grounds for appeal as per Section XXIV above and must be accompanied by a certified cheque or money order for \$1,500. This amount will be reimbursed to the appellant if the case is successful. Costs and expenses payable by an unsuccessful appellant are deducted from this amount and any remaining amount is reimbursed to the appellant. If the deposit is insufficient, the unsuccessful appellant will be ordered to pay the difference.
2. Sanctions, other than financial orders, imposed remain in force until the Appeals Committee procedure is completed.
3. Financial orders to pay a sum of money are suspended until the Appeals Committee procedure is completed.
4. Within fifteen (15) business days' of receipt of the appeal, the Appeals Committee must decide whether or not the appeal has been made on legitimate grounds.
5. If the Appeals Committee determines that the appeal is made on legitimate grounds, it shall inform both the appellant and the respondent immediately and shall provide a copy of the appeal to the respondent. If the Appeals Committee determines that the appeal is not made on legitimate grounds it shall so inform the appellant who shall be granted no further avenues of appeal.
6. The respondent shall be granted fifteen (15) business days to submit a rebuttal to the appellant's submission.
7. The Appeals Committee may, at its sole discretion and without holding a hearing, decide an appeal if, on the basis of the written documentation submitted to it, it is evident that the NWT Soccer *By-laws* and/or NWT Soccer *Code of Conduct and Ethics* have or have not been breached.
8. If upon review of the written submissions from the parties the Appeals Committee determines that a personal hearing is required, the hearing process will be followed as per Section XX above.
9. Decisions rendered by the Appeals Committee are to be written and must include the names of the Appeals Committee members, the names of the parties, the grounds for the decision, the facts upon which it was based and the decision itself. Decisions are to be signed by the Chair of the Committee.
10. Written decisions are to be forwarded to the parties concerned within forty-five (45) business days' and, in cases where a personal hearing is held, within fifteen (15) business days' after the date of the hearing.
11. Decisions may be communicated by facsimile, by electronic mail or by registered letter.

The NWT Soccer *Disciplinary Code* came into force on XX MONTH 2021 may be amended, deleted, or replaced by Ordinary Resolution of the Board of Directors. With immediate effect, all NWT Soccer disciplinary hearings shall be conducted in accordance with the NWT Soccer *Disciplinary Code*. Clubs and Leagues shall ensure that their disciplinary rules, regulations, and procedures are consistent with those of NWT Soccer by no later than 31 March 2022.



Lyric Sandhals  
Executive Director

## ANNEX A Tables of Minimum Sanctions for Misconduct

As per Section V of the NWT Soccer *Disciplinary Code* the guidelines below represent the sanctions that a Judicial Body may apply. For the avoidance of doubt sanctions may be either one of, or a combination of, a fine and/or suspension.

Contents of these Tables are the responsibility of the NWT Soccer Board of Directors and may be amended at any time.

<b>TABLE 1 – Standard Penalties for Misconduct by Player Based on Playing Seasonal Offences</b>				
<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Playing Seasonal Offence</b>	<b>2<sup>nd</sup> Playing Seasonal Offence</b>	<b>3<sup>rd</sup> Or More Playing Seasonal Offence</b>
1.01	First Caution	No Action		
1.02	Second Caution	No Action		
1.03	Third Caution	1-game suspension		
1.04	Fourth Caution	No Action		
1.05	Fifth Caution	2-game suspension		
1.06	Sixth Caution & any subsequent Caution	3-game suspension		
1.07	Dismissed for “Receiving a second caution in the same game” for which neither of the cautions was directed at the match official(s).	1-game suspension	3-game suspension	See misconduct 1.16
1.08	Dismissed for “Receiving a second caution in the same game” for which at least one of the cautions was directed at the Match Official(s).	2-game suspension	4-game suspension	See misconduct 1.16
1.08 A	Dismissed for “Receiving a second caution in the same game” for which at least one of the cautions were directed at a Match Official under the age of 18.	4-game suspension	8-game suspension	See misconduct 1.16
1.09	Dismissed or reported by Match Official for “using offensive, insulting or abusive language and/or gestures” directed at anyone other than the match official(s).	1-game suspension	3-game suspension	See misconduct 1.16
1.10	Dismissed or reported by Match Official for “using offensive, insulting or abusive language and/or gestures” directed the match official(s).	3-game suspension	8-game suspension	See misconduct 1.16
1.10 A	Dismissed or reported by Match Official for “Using offensive, insulting or abusive language and/or gestures/actions” directed at Match Official(s) under the age of 18.	8-game suspension	16-game suspension	See misconduct 1.16

1.11	Dismissed or reported for “using offensive, insulting or abusive language and/or gestures/actions” directed at a Match Official(s) after having been previously dismissed or reported.  Note: A suspension of 9-12 games can only be applied for 1.11 where the charge has been reduced from Game Official Assault Charges [XX/XX]. Otherwise the maximum suspension for 1.11 is 8 games.	6-12 game suspension	8-16 game suspension	See misconduct 1.16
1.11 A	Dismissed or reported for “using offensive, insulting or abusive language and/or gestures/actions” directed at a Match Official(s) under the age of 18, after having previously dismissed or reported.  Note: Suspension for a youth player under 1.11 A cannot exceed 8 games. An adult player can be suspended up to the maximum of 16 games.	8-16 game suspension	12-18 game suspension	See misconduct 1.16
1.12	Dismissed for “Denying an opponent a goal or an obvious goal-scoring opportunity by deliberately handling the ball.”	1-game suspension	2-game suspension	See misconduct 1.16
1.12 A	Dismissed for “Denying an opponent a goal or an obvious goal-scoring opportunity to an opponent moving towards the player’s goal by an offence punishable by a free kick or a penalty kick.”	2-game suspension	4-game suspension	See misconduct 1.16
1.13	Dismissed for “Serious Foul Play”. (Must occur on the field of play, against an opponent when challenging	2-game suspension	4-game suspension	See misconduct 1.16

	for the ball, while the ball is in play). Otherwise see 1.15			
1.13 A	Dismissed for "Serious Foul Play" where in the opinion of the Discipline Hearing Panel there was an obvious "attempt to injure" or "a complete disregard for the safety of an opponent" (Must occur on the field of play, against an opponent while the ball is in play) otherwise see 1.15 A	4-8 game suspension	8-12 game suspension	See misconduct 1.16
1.14	Dismissed or reported for "Spitting at an opponent or any other person", other than a Match Official.  Note: Spitting at a Match Official is Misconduct Type 1.52	4-8 game suspension	8-12 game suspension	See misconduct 1.16
1.15	Dismissed or reported "Violent Conduct".	4-game suspension	6-game suspension	See misconduct 1.16
1.15 A	Dismissed or reported for "Violent Conduct" where in the opinion of the Discipline Hearing Panel there was an obvious attempt to seriously injure a registrant or non-registrant	6-10 game suspension	10-15 game suspension	See misconduct 1.16
1.16	Received and found guilty of a third dismissal in a playing season within the league or competition	1-11 month suspension		
1.17	Senior player played as an ineligible player except for a player who played while under suspension in which case Misconduct Type 1.54 shall apply	2-game suspension	4-game suspension	8-game suspension
1.18	Re-enters the field of play or player bench area after having been dismissed from the game by the Match Official	2-game suspension	5-game suspension	See misconduct 1.18
<b>Standard Penalties for Misconduct by a Player Based on Lifetime Offences</b>				
<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Lifetime Offence</b>	<b>2<sup>nd</sup> Lifetime Offence</b>	<b>3<sup>rd</sup> Lifetime Offence</b>



1.51	<b>Match Official Assault</b> for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.  <i>This charge carries an immediate suspension from all soccer activity.</i>	6-12 month suspension + \$200 fine	1-3 year suspension + \$200 fine	Minimum 5 year suspension
1.51 A	<b>Match Official Assault</b> (against a Match Officials under the age of 18) for “deliberate physical contact (i.e. pushing, pulling, charging, etc.) or attempted physical contact or threatening.”  <i>This charge carries an immediate suspension from all soccer activity</i>	1-3 year suspension + \$300 fine	3-5 year suspension + \$300 fine	Minimum 7 year suspension
1.52	<b>Match Official Assault</b> for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct.”  <i>This charge carries an immediate suspension from all soccer activity.</i>	2-5 year suspension + \$400 fine	5-10 year suspension + \$400 fine	Minimum 10 year suspension
1.52 A	<b>Match Official Assault</b> (against a Match Officials under the age of 18) for “striking, kicking, or any form of violent conduct, or attempted violent conduct.”  <i>This charge carries an immediate suspension from all soccer activities.</i>	5-10 year suspension + \$500 fine	10-15 year suspension + \$500 fine	Minimum 15 year suspension
1.53	Failed to attend a discipline hearing	Player is fined \$100 and remains suspended until he/she requests and attends a subsequent discipline hearing.		
1.54	Participated in a soccer activity which he/she was suspended	6-12 month suspension	1-3 year suspension	2-5 year suspension
1.55	Instigated and/or participated in a brawl	1-3 month suspension	3-12 month suspension	1-3 year suspension

	between two teams before, during, or after a game.			
1.56	<p>Committed one or more of the following:</p> <ul style="list-style-type: none"> <li>a) Changed any information on a registration form/game day roster/sheet without the consent of the person being registered on such form.</li> <li>b) Provided false or inaccurate information on a registration form/game day roster/sheet.</li> <li>c) Provided and invalid photograph for registration purposes.</li> <li>d) Misidentifying oneself to a Match Official.</li> </ul>	3-12 month suspension	1-3 year suspension	2-5 year suspension
1.57	Provided false information or withheld information (including date of birth; Club, country, and year last registered; etc.) on a player registration form.	3-6 month suspension	6-12 month suspension	1-3 year suspension
1.58	Provided a false address on the player registration form.	1-3 month suspension	6-12 month suspension	1-3 year suspension
1.59	Participated in any soccer related activity under a false name.	3-12 month suspension	1-3 year suspension	2-5 year suspension
1.60	Dismissed or reported by a Match Official or other registrant for discriminatory or sexual comments made during the game or in the vicinity of the playing field either before, during or after the game.	1-3 month suspension	4-6 month suspension	7-12 month suspension
1.61	Reported for "using offensive, insulting or abusive language and/or	3-12 month suspension	1-3 year suspension	2-5 year suspension

	gestures” directed at a discipline or appeal hearing panel member(s)			
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<b>TABLE 2 - Standard Penalties for Misconduct by Team Officials</b>				
<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Playing Seasonal Offence</b>	<b>2<sup>nd</sup> Playing Seasonal Offence</b>	<b>3<sup>rd</sup> or more playing seasonal offence</b>
2.01	Dismissed or reported for persisting in misconduct “after receiving a warning ‘for misconduct’ from the match official” for which neither of the offences was directed at the Match Official(s).	1-game suspension	3-game suspension	See Misconduct 2.5
2.02	Dismissed or reported for persisting in misconduct “after receiving a warning ‘for misconduct’ from the match official” for which one of the offences was directed at the Match Official(s).	2-game suspension	5-game suspension	See Misconduct 2.5
2.02 A	Dismissed or reported for persisting in misconduct “after receiving a warning ‘for misconduct’ from the match official” for which one of the offences was directed at the Match Official(s) under the age of 18.	4-game suspension	10-game suspension	See Misconduct 2.5
2.03	Dismissed or reported for using “Offensive, insulting or abusive language and/or gestures” directed at anyone	2-game suspension	5-game suspension	See Misconduct 2.5

	other than the match official(s).			
2.04	Dismissed or reported for using "Offensive, insulting or abusive language and/or gestures" directed at the match official(s).	4-game suspension	9-game suspension	See Misconduct 2.5
2.04 A	Dismissed or reported for using "Offensive, insulting or abusive language and/or gestures" directed at the match official(s) under the age of 18.	8-game suspension	18-game suspension	See Misconduct 2.5
2.04 B	Dismissed or reported for using "Offensive, insulting or abusive language and/or gestures" directed at the match official(s) after having been previously dismissed or reported.	7-9 game suspension	3-6 month suspension	See Misconduct 2.5
2.05	Received and found guilty of a third dismissal in a playing season within that league or competition.	3-11 month suspension		
2.06	Re-enters the field of play or player's bench area after having been dismissed from the game by the match official.	3-game suspension	6-game suspension	See Misconduct 2.5
<b>Standard Penalties for Misconduct by a Team Official Based on Lifetime Offences</b>				
<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Lifetime Offence</b>	<b>2<sup>nd</sup> Lifetime Offence</b>	<b>3<sup>rd</sup> Lifetime Offence</b>
2.50	Made deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempts physical contact with Physical	3-12 month suspension + \$300 Fine	1-3 year suspension + \$400 Fine	Minimum 5 year suspension + \$500 Fine

	<p>Assault or Attempted Physical Assault of a uniformed (child/youth) registered player.</p> <p><i>This charge carries an immediate suspension from all soccer activity.</i></p>			
2.51	<p><b>Match Official Assault</b> for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	6-12 month +\$300 Fine	1-3 year suspension + \$400 Fine	Minimum 5 year suspension + \$500 Fine
2.51 A	<p><b>Match Official Assault</b> (towards a youth aged match official) for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	1-3 year suspension +\$400 Fine	3-5 year suspension + \$500 Fine	Lifetime Suspension
2.52	<p><b>Match Official Assault</b> for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct”.</p>	2-5 year suspension + \$300 Fine	5-10 year suspension + \$400 Fine	Minimum 10-year suspension \$500 Fine

	<i>This charge carries an immediate suspension from all soccer activity</i>			
2.52 A	<b>Match Official Assault</b> (towards a youth aged match official) for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct”.  <i>This charge carries an immediate suspension from all soccer activity</i>	Minimum 5-year suspension +\$500 Fine	Lifetime Suspension	
2.53	Made deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempts physical contact with, or threatens, a registrant or non-registrant of NWT Soccer (excluding a Match Official, or a child/youth registered player).	3-12 month suspension	1-3 year suspension	5 year suspension
2.54	Struck, spat on, kicked, or committed any form of violent conduct, or attempted violent conduct against a registrant or non-registrant of NWT Soccer (excluding a Match Official, or a child/youth registered player)	2-5 year suspension	5-10 year suspension	10 year suspension
2.55	Failed to attend a discipline hearing	Accused is fine \$100.00 and remains suspended until he/she requests and attends a subsequent discipline hearing.		
2.56	Played an ineligible or suspended player	3-12 month suspension	1-3 year suspension	2-5 year suspension

	in a sanctioned game or permitted a suspended player who is suspended from all soccer related activity			
2.57	Participated in a soccer activity from which he/she was suspended	6-12 months suspension	1-3 year suspension	2-5 year suspensions
2.58	Instigated and/or participated in a brawl between two teams, before, during, or after a game.	6-12 month suspension	1-3 year suspension	2-5 year suspension
2.59	<p>Committed one or more of the following:</p> <ul style="list-style-type: none"> <li>a) Changed any information on a registration form/game day roster/sheet without the consent of the person being registered on such form.</li> <li>b) Provided false or inaccurate information on a registration form/game day roster/sheet.</li> <li>c) Falsified a signature on a registration form/game day roster/sheet</li> </ul>	3-12 month suspension	1-3 year suspension	2-5 year suspension

	d) Misidentified player to Match Official.			
2.60	Provided a false address on the player registration form	1-3 month suspension	6-12 month suspension	1-3 year suspension
2.61	Participated in a soccer related activity under a false name	6-12 month suspension	1-3 year suspension	2-5 year suspension
2.62	Induced or attempted to induce a registered player to leave his/her team/club or academy before the end of that team's current playing season	6-12 month suspension	1-3 year suspension	2-5 year suspension
2.63	Dismissed or reported by a Match Official or other registrant for discriminatory or sexual comments made during a game or in the vicinity of the playing field either before, during or after the game	6-12 month suspension	1-3 year suspension	2-5 year suspension
2.64	Report for "using offensive, insulting, or abusive language and/or gestures" directed at a discipline or appeal hearing panel member(s)	3-12 month suspension	1-3 year suspension	2-5 year suspension
2.65	Directly or indirectly encouraged or assisted in the establishment or operation of an unsanctioned soccer organization or competition unless	6-12 month suspension	18-24 month suspension	3-5 year suspension



	team Official is only acting as a player.			
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**TABLE 3 – Standard Penalties for Misconduct by an Administrator**

<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Playing Seasonal Offence</b>	<b>2<sup>nd</sup> Playing Seasonal Offence</b>	<b>3<sup>rd</sup> or more playing seasonal offence</b>
3.01	Dismissed or reported for persisting in misconduct “after receiving a warning ‘for misconduct’ from the match official” for which neither of the offences was directed at the Match Official(s)	1 week suspension	3 week suspension	See misconduct 3.06
3.02	Dismissed or reported for persistent misconduct “after receiving a warning ‘for misconduct’ from the match official” for which at least one of the offences was directed at the Match Official(s).	2 week suspension	5 week suspension	See misconduct 3.06
3.02 A	Dismissed or reported for persistent misconduct “after receiving a warning ‘for misconduct’ from the match official” for which at least one of the offences was directed at the Match Official under the age of 18.	4 week suspension	10 week suspension	See misconduct 3.06
3.03	Dismissed or reported for using “Offensive, insulting or abusive language and/or gestures”	2 week suspension	5 week suspension	See misconduct 3.06

	directed at anyone other than the Match Official(s)			
3.04	Dismissed or reported for using “Offensive, insulting or abusive language and/or gestures” directed at the Match Official(s)	4 week suspension	9 week suspension	See misconduct 3.06
3.04 A	Dismissed or reported for using “Offensive, insulting or abusive language and/or gestures” directed at the Match Official under the age of 18	8 week suspension	18 week suspension	See misconduct 3.06
3.05	Reported for persisting in “using offensive, insulting or abusive language and/or gestures” directed at a match official(s) after having been previously reported or dismissed	7-9 week suspension	3-6 month suspension	See misconduct 3.06
3.05 A	Reported for persisting in “using offensive, insulting or abusive language and/or gestures” directed at a match official under the age of 18, after having been previously reported or dismissed	3-6 month suspension	6-9 month suspension	See misconduct 3.06
3.06	Received and found guilty of a third dismissal in a playing season within the league or competition	3-11 month suspension		
Standard Penalties for Misconduct by an Administrator Based on Lifetime Offences				
Type #	Description of Misconduct	1 <sup>st</sup> Lifetime Offence	2 <sup>nd</sup> Lifetime Offence	3 <sup>rd</sup> Lifetime Offence

3.50	<p>Made deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempts physical contact with Physical Assault or Attempted Physical Assault of a uniformed child/youth registered player.</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	3-12 month suspension + \$300 Fine	1-3 year suspension + \$400 Fine	Minimum 5 year suspension + \$500 Fine
3.51	<p><b>Match Official Assault</b> for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	6-12 month suspension + \$300 Fine	1-3 year suspensions +\$400 Fine	Minimum 5 year suspension + \$500 Fine
3.51 A	<p><b>Match Official Assault</b> (towards a match official under the age of 18) for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	1-3 year suspension +\$400 Fine	3-5 year suspension +\$500 Fine	Minimum 7 year suspension +\$600 Fine

3.52	<b>Match Official Assault</b> for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct”  <i>This charge carries an immediate suspension from all soccer activity</i>	2-5 year suspension +\$300 Fine	5-10 year suspension +\$500 Fine	Minimum 10 year suspension \$500 Fine
3.52 A	<b>Match Official Assault</b> (towards a match official under the age of 18) for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct”  <i>This charge carries an immediate suspension from all soccer activity</i>	5-10 year suspension + \$400 Fine	10-12 year suspension +\$500 Fine	Minimum 15 year suspension \$600 Fine
3.53	Made deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempts physical contact with, or threatens, a registrant or non-registrant of NWT Soccer (excluding Match Official, or a child/youth registered player)	6-12 month suspension	1-3 year suspension	5 year suspension
3.54	Struck, spat on, kick, or committed any form of violent conduct, against a registrant or non-registrant of NWT Soccer (excluding a Match Official or a child/youth registered player).	2-5 year suspension	5-10 year suspension	10 year suspension

3.55	Failed to attend a discipline hearing	Accused is fine \$100 and remains suspended until he/she requests and attends a subsequent discipline hearing		
3.56	Played an ineligible or suspended player in a sanctioned game or permitted a suspended player who is suspended from all soccer related activity	3-12 month suspension	1-3 year suspension	2-5 year suspension
3.57	Participated in a soccer activity from which he/she was suspended	6-12 month suspension	1-3 year suspension	2-5 year suspension
3.58	Instigated and/or participated in a brawl between teams before, during or after a game.	6-12 month suspension	1-3 year suspension	2-5 year suspension
3.59	<p>Committed one or more of the following:</p> <ul style="list-style-type: none"> <li>a) Changed any information on a registration form/game day roster/sheet without the consent of the person being registered on such form.</li> <li>b) Provided false or inaccurate information on a registration form/game day roster/sheet.</li> <li>c) Falsified a signature on a registration</li> </ul>	3-12 month suspension	1-3 year suspension	2-5 year suspension

	form/game day roster/sheet			
3.60	Provided a false address on the player registration form	1-3 month suspension	6-12 month suspension	1-3 year suspension
3.61	Participated in a soccer related activity under a false name	6-12 month suspension	1-3 year suspension	2-5 year suspension
3.62	Induced or attempted to induce a registered player to leave his/her club/team or academy before the end of that team's current playing season.	6-12 month suspension	1-3 year suspension	2-5 year suspension
3.63	Any team official or administrator dismissed or reported by a Match official or other registrant for discriminatory or sexual comments made during a game or in the vicinity of the playing field either before, during or after the game	6-12 month suspension	1-3 year suspension	2-5 year suspension
3.64	Reported for "using offensive, insulting or abusive language and/or gestures" directed at a panel member(s)	3-12 month suspension	1-3 year suspension	2-5 year suspension
3.65	Directly or indirectly encouraged or assisted in the establishment or operation of an unsanctioned soccer organization	6-12 month suspension	18-24 months suspension	3-5 year suspension
3.66	Participated in an organization's decision not to	12 month suspension	24 month suspension	3-5 year suspension

	<p>register some, or all of its players and teams with NWT Soccer.</p> <p>Note: If an Administrator in a governance position is charged with Misconduct Type 3.76, the onus will be on each individual Director to prove that he/she did not support the Club's decision to not register the team and/or players.)</p>			
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<b>Table 4 – Standard Penalties for Misconduct by a Match Official</b>				
<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Playing Seasonal Offence</b>	<b>2<sup>nd</sup> Playing Seasonal Offence</b>	<b>3<sup>rd</sup> or More Playing Seasonal Offence</b>
4.01	Used "Offensive, insulting or abusive language and/or gestures" directed at anyone including a Match Official(s).	2 week suspension	3 week suspension	6-12 month suspension
4.02	Failed to conduct himself/herself with dignity both on, and off, the field of play	\$100-\$200 Fine	\$250-\$500 Fine	\$500-750 Fine
4.03	Failed to report to a game (to which he/she has been appointed) without giving prior adequate notice of his/her inability to officiate such game.	AR & 4 <sup>th</sup> Officials \$100 Fine  Match Official \$190 Fine	AR & 4 <sup>th</sup> Officials \$250 Fine  Match Official \$500 Fine	AR & 4 <sup>th</sup> Officials \$500 Fine  Match Official \$750 Fine
4.03 A	Report to an assigned game late beyond the competition/leagues require time to report without a valid reason	\$25 Fine	\$50 Fine	\$75 Fine
4.04	Failed to submit a game report to the	\$50 Fine	\$190 Fine	\$500 Fine

	appropriate Governing Organization within the stipulated time frame after the completion of the game.			
4.05	Failed to submit a report for a cautioned/dismissed player, special incidents or a Match Official Assault.	\$200 Fine	\$500 Fine	\$1,000 Fine
<b>Standard Penalties for Misconduct by a Match Official Based on Lifetime Offences</b>				
<b>Type #</b>	<b>Description of Misconduct</b>	<b>1<sup>st</sup> Lifetime Offence</b>	<b>2<sup>nd</sup> Lifetime Offence</b>	<b>3<sup>rd</sup> Lifetime Offence</b>
4.50	Made deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempts of physical contact with Physical Assault or Attempted Assault of a uniformed child/youth registered player.  <i>This charge carries an immediate suspension from all soccer activity</i>	3-12 month suspension + \$300 Fine	1-3 year suspension + \$400 Fine	Minimum 5 year suspension + \$500 Fine
4.51	<b>Match Official Assault</b> for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.  <i>This charge carries an immediate suspension from all soccer activity</i>	6-12 month suspension	1-3 year suspension +\$400 Fine	Minimum 5 year suspension + \$500 Fine



4.51 A	<p><b>Match Official Assault</b> (towards a match official under the age of 18) for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening”.</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	1-3 year suspension + \$400 Fine	3-5 year suspension + \$500 Fine	Minimum 7 year suspension + \$600 Fine
4.52	<p><b>Match Official Assault</b> for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct”</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	2-5 year suspension + \$500 Fine	5-10 year suspension + \$500 Fine	Minimum 10 year
4.52 A	<p><b>Match Official Assault</b> (towards a match official under the age of 18) for “striking, spitting, kicking, or any form of violent conduct, or attempted violent conduct”</p> <p><i>This charge carries an immediate suspension from all soccer activity</i></p>	5-10 year suspension + \$500 Fine	10-14 year suspension + \$600 Fine	Minimum 15 year suspension
4.53	Made deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempts physical contact with, or threatens a	6-12 month suspension	1-3 year suspension	Minimum 5 year suspension

	registrant of NWT Soccer or spectator			
4.54	Struck, spat on, kicked, or committed any form of violent conduct, or attempted violent conduct against a registrant of NWT Soccer or a spectator	2-5 year suspension	5-10 year suspension	Minimum 10 year suspension
4.55	Publicly criticized any other Match Official or Soccer Organization; made derogatory statements to the media relating to any game in which he/she was involved concerning the performance of the players or other Match Officials.	1-3 month suspension	3-12 month suspension	2-5 year suspension
4. 56	Officiated an unsanctioned or unaffiliated soccer game	30 day suspension	3-6 month suspension	1-2 year suspension
4.57	Failed to attend without a valid reason, a discipline hearing for a person whom the Match Official had reported for Match Official assault or when the penalty is greater than 12 months.	\$250 Fine	\$500 Fine	\$1,000 Fine
4.58	Failed to attend a discipline hearing	Match Official is fine \$100 and remains suspended until he/she requests and attends a subsequent discipline hearing.		
4.59	Participated in a soccer activity from which he/she was suspended	6-12 month suspension	1-3 year suspension	2-5 year suspension

4.60	Committed one or more of the following: a) Provided false or inaccurate information on a registration form/game day roster/sheet b) Falsified a signature on a registration form/game day roster/sheet	3-12 month suspension	1-3 year suspension	2-5 year suspension
4.61	Provided a false address on the Match Official registration form	1-3 month suspension	6-12 month suspension	1-3 year suspension
4.62	Participated in a soccer related activity under a false name	6-12 month suspension	1-3 year suspension	3-6 year suspension
4.63	Accepted a fee or other financial compensation (which is not approved by the league's governing organization (or a fee beyond the set guidelines within the standardization of game fees.	\$250 Fine + 2 week suspension from all officiating activities	\$500 fine + 4 week suspension from all officiating activities	\$1,000 fine + 1 year suspension from all officiating activities
4.64	Any Match Official dismissed or reported by Match Official or other registrant for discriminatory or sexual comments made during a game or in the vicinity of the playing field either before,	6-12 month suspension + \$200 Fine	1-3 year suspension + \$400 Fine	3-6 year suspension + \$800 Fine

	during or after the game.			
4.65	Reported for “using offensive, insulting or abusive language and/or gestures” directed at a panel member(s)	3-12 month suspension	1-3 year suspension	2-5 year suspension
4.64	Directly or indirectly encouraged or assisted in the establishment or operation of an unsanctioned soccer organization or competition	6-12 month suspension	18-24 month suspension	3-5 year suspension

Table 5 – Standard Penalties for Misconduct by a Club				
Type #	Description of Misconduct	1 <sup>st</sup> Playing Seasonal Offence	2 <sup>nd</sup> Playing Seasonal Offence	3 <sup>rd</sup> or More Playing Seasonal Offence
5.01	<b>Match Official Assault</b> for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening” by a Club’s spectators or by unidentified players, Team Officials, Administrators of one of the Club’s teams.	\$500 Fine	\$1,000 Fine	\$2,000 Fine
5.01 A	<b>Match Official Assault</b> (against a Match Official under the age of 18) for “deliberate physical contact (i.e., pushing, pulling, charging, etc.) or attempted physical contact or threatening” by a Club’s spectators or by unidentified players, Team Officials, Administrators of one of the Club’s teams.	\$1,000 Fine	\$2,000 Fine	\$5,000 Fine
5.02	<b>Match Official Assault</b> for “striking, spitting, kicking, or any form of violent conduct ,or	\$500 Fine	\$1,000 Fine	\$2,000 Fine

	attempted violent conduct” a Club’s spectators or by unidentified players, Team Officials, Administrators of one of the Club’s teams.			
5.02 A	<b>Match Official Assault</b> (against a Match Official under the age of 18) for “striking, spitting, kicking, or any form of violent conduct ,or attempted violent conduct” a Club’s spectators or by unidentified players, Team Officials, Administrators of one of the Club’s teams.	\$1,000 Fine	\$2,000 Fine	\$5,000 Fine
5.03	Club failed to prevent its spectators or players’ parents from disrupting a game or for persisting in “using offensive, insulting or abusive language and/or gestures” directed at a Match Official(s) or any other person	\$300 Fine	\$500 Fine	\$1,000 Fine
5.03 A	Club failed to prevent its spectators or players’ parents from disrupting a game or for persisting in “using offensive, insulting or abusive language and/or gestures” directed at a Match Official(s) under the age of 18 or any other person.	\$500 Fine.04	\$1,000 Fine	\$2,000 Fine
5.04	Club failed to prevent its players, team officials or spectators from swarming the Match Official	\$1,000 Fine	\$2,000 Fine	\$5,000 Fine
5.05	Permitted unregistered teams and/or unregistered players to	\$1,000 Fine	\$1,000 Fine	\$1,000 Fine

	participated in their sanctioned club competitions.			
5.06	Club failed to have a Club Representative or its registrant attend a Discipline Hearing when that person is required to do so.	\$200 Fine	\$300 Fine	\$500 Fine
5.07	Club failed to attend a discipline hearing at which it is accused of match official assault for the actions of its spectators and/or unidentified players, Team Officials, Administrators on one of the Club's teams.	\$200 Fine	\$300 Fine	\$500 Fine
5.08	Played an ineligible player on one of its teams in a game	The Club's Team will forfeit all of the games in which an ineligible player played and the club is fined \$500		
5.09	Participated in a soccer activity from which the organization was suspended	\$500 Fine	\$750 Fine	\$1,000 Fine
5.10	(One of its teams) participated in an unsanctioned competition	\$500 Fine	\$750 Fine	\$1,000 Fine
5.11	Committed one or more of the following: a) Changed any information on a registration form/game day roster/sheet without the consent of the person being registered on such form. b) Provided false or inaccurate information on a registration form/game day roster/sheet	\$1,000 Fine	\$2,000	\$4,000

	c) Registered an individual in NWT Soccer's registration system without the consent of the parent/guardian.			
5.12	Did not identify the correct person responsible for an incident in which the accused was mistakenly identified.	\$500 Fine	\$750 Fine	\$1,000 Fine
5.13	<p>Game is abandoned due to the action(s) of its players, Team Officials, Administrators or spectators and such action(s) are warranted as valid reason(s) for abandoning the game.</p> <p>Note: If the abandoned game occurred in an Territorial Championship, the team is ineligible to enter the competition in the subsequent year.</p> <p>In addition, if the abandoned game occurred in an out-of-territory tournament, the team is ineligible to travel out-of-territory for one year.</p>	\$500 Fine	\$750 Fine	\$1,000 Fine
5.14	One of its Team Officials or Administrators was found guilty of inducing or attempting to induce a registered player to leave his/her team/club or academy before the end of that team's current playing season.	\$1,00 Fine	\$2,000 Fine	\$3,000 Fine

5.15	Any player, team official, administrator or club spectator found guilty of discriminatory or sexual comments made during a game or in the vicinity of the player field either before, during or after the game	\$500 Fine	\$1,000 Fine	\$2,000 Fine
5.16	Directly or indirectly encouraged or assisted in the establishment or operation of an unsanctioned soccer organization or competition	\$1,000 Fine	\$3,000 Fine	\$5,000 Fine
5.17	Did not register all of its Teams and players with NWT Soccer	\$500 fine for each team not registered or \$500 fine for each 14 players not registered, whichever is less.	\$1000 fine for each team not registered or \$1000 fine for each 14 players not registered, whichever is less.	\$2000 fine for each team not registered or \$2000 fine for each 14 players not registered, whichever is less.
5.18	Did not registered all of its coaches and administrators with NWT Soccer	\$200 Fine for each Coach and Administrator not registered with NWT Soccer	\$350 Fine for each Coach and Administrator not registered with NWT Soccer	\$500 Fine for each Coach and Administrator not registered with NWT Soccer

Table 6 – Standard Penalties for Misconduct by a League				
Type #	Description of Misconduct	1 <sup>st</sup> Playing Seasonal Offence	2 <sup>nd</sup> Playing Seasonal Offence	3 <sup>rd</sup> or More Playing Seasonal Offence
6.01	Permitted an unregistered teams and/or unregistered players to participate in sanctioned competitions	\$1,000	\$2,500	\$5,000
6.02	Participated in a soccer activity from which the league/organization was suspended	\$250 Fine	\$500 Fine	\$1,000 Fine
6.03	Committed one or more of the following:	\$500 Fine	\$1,00 Fine	\$2,000 Fine



	<p>a) Changed any information on a registration form/game day roster/sheet without the consent of the person being registered on such form.</p> <p>b) Provided false or inaccurate information on registration form/game day roster/sheet.</p> <p>c) Falsified a signature on a registration form/game day roster/sheet.</p>			
6.04	Directly or indirectly encouraged or assisted in the establishment or operation of an unsanctioned soccer organization or competition	\$1,000 Fine	\$3,000 Fine	\$5,000 Fine